

PTOL-413A (08-03)  
Approved for use through 07/31/2008, OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

### Applicant Initiated Interview Request Form

Application No.: 09 / 542,854 First Named Applicant: Edward G. Cazalet  
Examiner: Borissov, Igor N Art Unit: 3629 Status of Application: pending

#### Tentative Participants:

(1) Igor N. Borissov (Examiner) (2) Edward G. Cazalet (Inventor)  
(3) Other (Inventor) (4) Michael A. Glenn (Attorney for applicant)

Proposed Date of Interview: 9 Dec 2003 Proposed Time: anytime (AM/PM)

#### Type of Interview Requested:

(1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

### Issues To Be Discussed

Issues (Rcl., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>103</u>	<u>1-62</u>	<u>As Cited</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

#### Brief Description of Arguments to be Presented:

The inventors will explain their invention and their understanding of the cited art.

An interview was conducted on the above-identified application on \_\_\_\_\_.

#### NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

\_\_\_\_\_  
(Applicant/Applicant's Representative Signature)

\_\_\_\_\_  
(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Certificate of Transmission Under 37 C.F.R. §1.8				
I hereby certify that this document and the documents referred to herein as attached are being transmitted via facsimile to the United States Patent and Trademark Office, Attn: Examiner Igor Borissov, Art Unit 3629, to facsimile number (703) 872-9306 on the below indicated date of deposit.				
Date of Deposit:	12/3/2003	Name of Person Making the Deposit:	Cheryl A. Petersen	Signature of the Person Making the Deposit:
			<i>cpetersen</i>	

In re Application of: Cazalet et al.

Docket No.: APXX0003

Serial No: 09/542,854

Art Unit: 3629

Filed: April 4, 2000

Examiner: Borissov, Igor N

Title: Method and System for Managing AC Power Networks Based Upon Flow-Gate Market Transactions

**Re: Request for Interview**

To: United States Patent and Trademark Office  
Fax: (703) 872-9306  
Attention: Examiner Igor Borissov, Art Unit 3629

Pages (Including coversheet): 2

**Attached to this facsimile coversheet please find the following documents:**

Applicant Initiated Interview Request Form